

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Matthias LAUBENDER, et al.

SERIAL NO: New Application

GAU:

FILED: Herewith

EXAMINER:

FOR: USE OF AQUEOUS BINDERS IN PRODUCING FILTER MATERIALS

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Norman F. Oblon

Registration No. 24,618

James D. Hamilton  
Registration No. 28,421

Customer Number

**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

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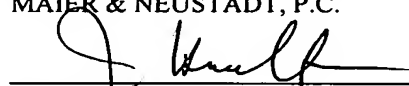
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DOCKET NO: 248693US0

Sheet   1   of   1  

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FOR: USE OF AQUEOUS BINDERS IN PRODUCING FILTER MATERIALS

**STATEMENT OF RELEVANCY**

**References AO (EP 0 445 578), AQ (WO 97/31036) and**

**AR (DE 199 49 592) on Form PTO- 1449:**

These references are discussed in the specification.

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
248693US0SERIAL NO.  
New Application

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT  
Matthias LAUBENDER, et al.FILING DATE  
Herewith

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,661,213	08/26/97	Charles Thomas ARKENS, et al.			
	AB	5,763,524	06/09/98	Charles Thomas ARKENS, et al.			
	AC	6,221,973	04/24/01	Charles Thomas ARKENS, et al.			
	AD	6,136,916	10/24/00	Charles Thomas ARKENS, et al.			
	AE	6,071,994	06/06/00	Rainer HUMMERICH, et al.			
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	0 445 578	09/11/91	Europe		X
	AP	0 583 086	02/16/94	Europe (Corresponding U.S. Patent 5,661,213, 5,763,524, 6,221,973, 6,136,916)		
	AQ	WO 97/31036	08/28/97	WIPO (Corresponding U.S. 6,071,994)		X
	AR	199 49 592	04/19/01	Germany		x
	AS					
	AT					
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.